

# **Digital Identity for Refugees and Disenfranchised Populations: The “Invisibles” and Standards for Sovereign Identity**



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# Digital Identity for Refugees and Disenfranchised Populations: The “Invisibles” and Standards for Sovereign Identity

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**Keywords:** Digital Identity, Sovereign Identity, Standards, Online Dispute Resolution, Refugees, Access to Justice

**Abstract:** This white paper reviews the history of identity problems for refugees and disenfranchised persons, assesses the current state of digital identity programs based in nation states, offers examples of non-state digital ID programs that can be models to create strong standards for digital ID programs, and presents a call to action for organizations like ISO and the UNHCR.

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## INTRODUCTION

“Brain Waste” is a term of art among refugee populations that refers to an all too common phenomenon involving the loss of credentials among those who have been forced to move from homelands to “safe” havens in host countries.

A glaring example is the brain waste involving health care professionals who are forced into refugee status. Doctors with well established credentials and years of practice find themselves without credentials recognized by the host country, unable to find work in the fields in which they were trained. As one health professional observed, “The brain waste is appalling. These are individuals who could be taking care of children with asthma and instead are working at a car wash.”<sup>7</sup>

But in one sense, these displaced doctors, nurses, and health professionals are lucky. They have at least found a haven, they have new identities in their host countries that allow them to make a living doing *something*, and they are officially recognized by the host country as human beings.

For Syrian refugees in camps around the world, or for Rohingya refugees in Bangladesh, or for any number of other refugee populations living in tents or makeshift shelters, isolated from local populations and largely un-welcomed by host countries, merely having professional credentials stripped away would seem a luxury. Refugees who land in the camps have generally lost all of the documentation that allowed them to claim a place in society - they have become, for practical purposes, invisible.

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<sup>7</sup> Dr. Jose Ramon Fernandez-Pena, in Michael Nederman, “Why Refugee Doctors Become Taxi Drivers,” CNN Health, August 9, 2017, at <https://www.cnn.com/2017/08/09/health/refugee-doctors-medical-training/index.html>

One of the authors has experienced the effects of invisibility first-hand. In 2012, Toufic "Tey" Al Rjula discovered that he is, in fact, an "invisible man." On his Dutch driver's licence, you will not find a city name such as "Beirut", "Damascus", or "The Hague." Instead, his place of birth is declared as "Unknown." This is because Tey was born in Kuwait to Syrian parents during the gulf war, when the birth registries were destroyed *en masse*. Therefore, he does not have a birth certificate, and even if copies were to exist, neither he nor the issuing authority could possibly verify the document.

Two years later, in 2014, Tey started his asylum application in a refugee camp in the Netherlands, where he was forced to move after his work permit of five years was terminated. There, for two years, he felt the pain of thousands of Syrian refugees unable to verify the authenticity of their documents. In addition, many of those refugees had lost other important documents, such as land titles and academic certificates. By the end of his stay, Tey had met more than a thousand invisible men, women, and children. This experience, unfortunately typical of literally millions of refugees today, has driven the development of an identity program that will be described later in this paper.

Much of the work that has been done for refugees can be grouped under the general umbrella of "Access-to-Justice" (A2J). But A2J has traditionally been defined as access to the courts, an approach that, at best, provides tangential benefits for refugees. The World Justice Project has published the 2019 review of 126 countries, ranking them on an access to justice scale determined by an array of factors related to the independence and fairness of their court systems.<sup>8</sup> But for even the most accessible justice systems in the world, one must exist - be a person recognized as having standing in that court's area of control - in order to benefit.

The focus of this white paper is on the need to develop an alternate approach to the concept of access to justice, and a coordinated attempt to create standards for identity reconstruction. A guiding principle is that, to an ever increasing degree, identity around the world is being created, stored, and used digitally.

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<sup>8</sup> <https://worldjusticeproject.org/our-work/research-and-data/wjp-rule-law-index-2019>

The purpose of this white paper is not to establish standards for creation or recreation of identities. That is a complicated, iterative process that will stretch over time and benefit from contributions by many organizations. There are some related questions that this white paper does aim to address.

First, what is the history and context of the “refugee crisis,” and what has been done in the past to address the destruction of identities among refugees? There is no “justice layer” of the Internet - that is, there is no legal environment that stretches across boundaries and furnishes rules and structures agreed upon and followed by the myriad of state actors who deal with refugees. But there is some precedent for the creation of solid identities, voluntarily accepted across national borders, that may give some guidance to the creation of standards that would bring refugees out of invisibility. The opening section of this paper deals with this history and the trans-national nature of standards-driven approaches to identity.

Second, what is the current state of digital identity creation around the world? There are many projects underway that use various digital formats and archiving platforms to create online identities, but most of them are state-bound. That is, they are designed to operate within the boundaries of a political entity, and they are generally designed to establish citizenship and access to social benefits that are largely denied to refugees. The second section of this paper reviews the current state of digital identity creation.

Third, what are some examples of current digital ID projects that do not rely on state authorization or national boundaries? Although there are no internationally recognized standards for creating or recreating identities, there are examples of process-driven projects that can show the way toward larger, more generalizable, approaches to digital IDs. The third section of this paper will offer some examples.

Finally, what is a generalizable framework for digital ID projects, and what issues must be considered when developing standards that can be formalized and recognized internationally? Each refugee situation will be to some extent unique, but there are some process models that can

be used as a framework for standards development. The fourth and final section of this paper explores one such model.

It is hoped that the discussion surrounding these basic questions will prompt cooperative work among international organizations concerned with refugees, standards, and technology, and that the cloak of invisibility over refugee populations can be lifted.

## HISTORY AND CONTEXT

There is a growing need to find practicable ways for refugees to help themselves. Overcoming the impasse of refugees stuck between a country they were forced to leave and a country that has unenthusiastically become their current refuge has been frustrating for all concerned.

Refugees are reluctant travelers. They have been forced out of their homes and seek temporary alternatives that will offer them shelter, food and safety. Sovereign states, in turn, are reluctant hosts. They did not ask for refugees to land on their shores, and they worry about how to shelter and feed refugees, preserve security, and avoid confrontation. International Organizations (IOs), find themselves caught between an obligation to fulfil the basic needs of refugees and the sovereign concerns of the nation-states. The United Nations Commissioner for Refugees (UNHCR), must defer to the wishes of host countries, yet the UN 1951 Refugee Convention relating to the status of Refugees (1951 Convention), gives international standing to the plight of refugees.<sup>9</sup> The result has been a series of half-way measures that frustrate both camps.

It may be helpful to isolate particular issues -- what are called “wicked problems”<sup>10</sup> -- and look to third-party organizations such as the international standards community, to help craft

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<sup>9</sup> UN Convention Relating to the Status of Refugees, Article 1(A)(2). July 28, 1951. (189 UNTS 137). “Owing to well-founded fear of being persecuted for reasons of race, religion, nationality, membership of a particular social group or political opinion, is outside the country of his nationality and is unable or, owing to such fear, unwilling to avail himself of the protection of that country; or who, not having a nationality and being outside the country of his former habitual residence as a result of such events, is unable or, owing to such fear, is unwilling to return to it.”

<sup>10</sup> A ‘wicked problem’ is one that is highly difficult or impossible to solve because of incomplete, contradictory, and changing requirements that are often difficult to recognize. Wicked problems are usually ones that do not have a ‘true-false’ axis, but fall upon a ‘better or worse’ continuum. Such problems are often economic, political or environmental issues and involved stakeholders often have radically different world views. Often political ‘solutions’ to

practicable solutions to issues that currently overwhelm refugees and host countries. In particular addressing issues dealing with defining a constructive role for refugees to play while living inside a host country would mitigate much of the angst that refugee status currently entails. Standards organizations, such as ISO (the International Organization for Standardization), already play an important role in refugee camps by defining such things as design specifications for camp structures, community building resilience, record management, hospital and food safety standards, product designs of relief supplies, systems engineering standards, specially-designed cookstoves, etc.<sup>11</sup>

The world has always had refugees,<sup>12</sup> but issues involving refugees have gotten worse, not better, in the 21<sup>st</sup> century. There is a growing concern in developed western nations that the current diaspora of refugees from the Middle East and Africa to Europe, and/or migration from Mexico and Central America to the United States, has become an insoluble public policy issue.<sup>13</sup> Are developed nations at risk by what seems an unprecedented movement of refugees from their country of origin to countries of destination, where they are both unknown and uninvited?

As Emma Haddad points out:

As long as there are political borders constructing separate states and creating clear definitions of insiders and outsiders, there will be refugees.

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wicked problems can stress those who have standing in the issue, which induces policymakers to kick the can further down the road.

<sup>11</sup> Maria Lazarte, *ISO Focus Magazine*, 19 Sept 2017. <https://www.iso.org/news/ref2222.html>

<sup>12</sup> The plight of refugees is a classic concept in human lore; from Adam and Eve forced to leave Eden, to Oedipus and Odysseus wandering the old world, refugees have long been strangers in strange lands.

<sup>13</sup> This was not always the case. In the 1776 Declaration of Independence describing King George III's "repeated injuries and usurpations on American rights and liberties," the Founding Fathers complained that the King "has endeavored to prevent the population of these States; for that purpose obstructing the Laws for Naturalization of Foreigners; refusing to pass others to encourage their migrations hither . . ." (The Plantation Act of 1740 allowed any Protestant alien residing seven years in one of the American colonies to have the same rights as one of "his Majesty's natural-born subjects").

Such individuals do not fit into the state-citizen-territory hierarchy, but are forced instead into gaps *between* states.<sup>14</sup>

The United States serves as a case-in-point. The current debate over refugees from Mexico and Central America is couched by some as “an invasion” that brings drugs, criminals and families desiring to go on welfare. Others accept the analysis of organizations such as the Cato Institute, which reports that refugees and migrants “. . . 1) increase the productive possibility of the US economy and currently account for 11 percent of all economic output; 2) are twice as likely to start a new business as native-born Americans; and 3) basic indicators of assimilation from naturalization to English ability, are if anything, stronger now than they were a century ago.”<sup>15</sup>

Prior to the expansion of violence in the 20<sup>th</sup> century, being a refugee was a temporary position before a new home was found. Irish Catholics and Russian Jews (among others) looked across the Atlantic. Chinese sought new homes in the Indies, Singapore and San Francisco. In the Balkans, Greeks came home from Turkey and Bulgaria. Nineteenth century migrations were usually country-specific and of short duration. Refugees knew where they wanted to migrate to, and while many states welcomed refugees, other states countered migration desires by passing restrictive laws to limit the influx of refugees.

This situation was changed for the worse by WWI, the war that was expected to end all wars. The length and severity of the war was unexpected, and when the victors arrived at Versailles they were committed to breaking up the old Empires of Germany, Austria-Hungary and Turkey - Russia fell apart on its own. The aspirational goal of the victors at Versailles for national sovereignty for the new nation-states quickly turned sour as lines drawn on maps

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<sup>14</sup> Emma Haddad, *The refugee in International Society*, Cambridge University Press, 2008, p. 7. “States can be differentiated from other forms of belonging by their attachment to sovereignty, borders and territory. Refugees therefore pose a problem for the international community quite different from other foreigners.”

<sup>15</sup> Alex Nowrasteh, “Myths and facts of Immigration Policy,” Cato Policy Report, Jan/Feb 2019, Vol XLI, No. 1.

captured unwilling minorities behind borders as well as ‘liberating’ supposed majority populations. In a chapter entitled “The Decline of the Nation-State and the End of the Rights of Man,” Hanna Arendt describes the clash of tribe versus tribe in the twilight following the war that was to end all wars.<sup>16</sup>

The creation of the many new nations in central Europe and the Middle East caused a huge diaspora of refugees who were forced to leave homelands and find refuge in some other location. Greeks and Turks (by the millions) exchanged places. A quarter million Bulgars returned to Bulgaria, two million Poles, and a million Germans were forced to migrate. This was a slow-moving crisis, and by 1926 there were still 9.5 million refugees in camps and dispersed into urban environments. White Russians, Mensheviks, socialists and liberals all had to abandon Russia for bolt-holes from Paris, to Tashkent, to Shanghai. Poles and Russians waged a brutal border war. Greeks moved west, the Turks east and the few Armenians followed the Jews into fragile exiles.<sup>17</sup>

Outside Athens, on the Piraeus, in Salonika, masses of humanity lay rotting in the cold of a Greek winter. Then the Fourth Assembly of the League of Nations, in session in Geneva, voted one hundred thousand gold francs to the Nansen relief organization for immediate use in Greece. The work of salvage began. Huge refugee settlements were organized. Food was brought and clothing and medical supplies. The epidemics were stopped. The survivors began to sort themselves into new communities. For the first time in history, large scale disaster had been halted by

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<sup>16</sup> Hanna Arendt, The Origins of Totalitarianism, New York, 1976, p. 267. Civil wars which ushered in and spread over the twenty years of uneasy peace were not only bloodier and more cruel than all their predecessors; they were followed by migrations of groups who, unlike their happier predecessors in the religious wars, were welcomed nowhere and could be assimilated nowhere.. Once they had left their homeland they remained homeless, once they had left their state they became stateless; once they became deprived of their human rights they were rightsless, the scum of the earth.”

<sup>17</sup> See, Tara Zahra, The Great Departure – Mass Migration and the Making of the Free World, WW Norton, New York, 2016.

goodwill and reason. It seemed as if the human animal were at last discovering a conscience, as if it were at last becoming aware of its humanity.<sup>18</sup>

The newly-formed League of Nations felt obligated to act as the lead authority to deal with the problem, in large part because of the inability (and unwillingness), of the nation-states to deal with the unintended consequences of the Treaties of Versailles. The League's High Commissioner for Refugees, Fridtjof Nansen, found quickly that the League could promise but rarely deliver; and he was forced into dependence on private sector organizations such as the International Red Cross and the American Relief Organization, led by Herbert Hoover, to deal with immediate needs for shelter, food and security.<sup>19</sup> Nansen acknowledged his dependency on private sector organizations through the creation of an Intergovernmental Advisory Commission for Refugees, "a body that acted under the auspices of the League of Nations, but which included both state officials and representatives of private organizations."<sup>20</sup>

Nansen quickly realized that a key tool was missing to aid refugees: internationally recognized identification papers. This was a huge impediment to their request for safe asylum, and left them not only "stateless" but invisible, as they lacked the ability to prove who they were. The resultant "Nansen Passport" was created to give refugees what food and shelter could not; an instrument that gave a refugee a sense of belonging and stability in a world that had spun out of control, leaving refugees "suspended in a web of international legal technicalities."<sup>21</sup>

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<sup>18</sup> Eric Ambler, A Coffin for Dimitrios, London, 1939, pps. 51-52.

<sup>19</sup> Michael Marrus, The Unwanted; European Refugees in the Twentieth Century, New York, 1985, p. 88. "Establishing a pattern that would become familiar in the interwar period, Nansen drew upon the League of Nations only for its prestige and administrative assistance; the actual operation was supported by private agencies."

<sup>20</sup> The Refugee in International Society, p. 112.

<sup>21</sup> The Unwanted, p. 111. "All this was achieved with a very small staff working closely with private and voluntary agencies... To help coordinate the work of these agencies, a Permanent International Conference of Private Organizations for the Protection of Migrants assembled in Geneva in 1924."

The Nansen Passport was a document that had no official standing, but it was accepted by most countries as a way to attest to the *bona fides* of the refugee and recognized the *de facto* acceptance of refugees carrying the document. Only sovereign states can decide who can legally cross their borders, and while some nation-states refused to recognize the Passport, the League of Nations mandate gave needed credibility to the understanding that refugees were citizens of the world, even if not citizens of an individual nation-state. Russian refugees made the observation, “Man consists of a body, a soul and a passport.”<sup>22</sup>

As with the post-WWI refugee crisis, the post- Second World War crisis began with a concern by the sovereign states that the refugee crisis would wash up on their shores never to leave. This sense of alienation on the part of refugees and the commensurate sense of imposition on the part of the nation-states has defined the modern impasse of the refugee dilemma. Just as today we have refugees from Syria, minority Sunni’s in Shiite territory, Kurds without a national base, and Africans trying to leave chronic warfare, corruption and poverty behind, after WWII millions of displaced persons (DP’s) moved from East to West, and to a lesser extent from West to East because staying where they were at the end of the war was not a viable option.

For six years, the United Nations Relief and Rehabilitation Administration (UNRRA), worked closely with private organizations such as the Red Cross and the American Friends Society to bring order to these camps of displaced persons. In the beginning there was only chaos. In the words of one UNRRA administrator, “There was tremendous disorder. It was a shambles...sullen inmates...choked toilets...and the threat of typhus.”<sup>23</sup>

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<sup>22</sup> Qasmiyeh, Loescher, Long and Sigona, eds., *Oxford Handbook of Forced Migration Studies*, Oxford, UK, 2014, and Karen Jacobsen, *Livelihoods and Forced Migration*, p. 105: “Official documents are [often], poorly designed -- often handwritten and illegible – or flimsy and easily destroyed – and they do not look legitimate. Documentation must be recognized by authorities, and authorities trained to act according to the rights conferred, in order to provide effective protection.

<sup>23</sup> Mark Wyman, *DP: Europe’s Displaced Persons (1945-1951)*, The Balch Institute Press, Philadelphia, 1998, pp 38-39.

An early key decision made by the understaffed UNRRA officials was to devolve as many governance obligations as was possible on the refugees themselves. UNRRA stressed camp democracy on ideological grounds . . . and because experience showed it was the best plan in such conditions. Soon the camps were being run largely by elected camp committees and in many cases UNRRA leaders were only nominally in charge.<sup>24</sup>

Because refugee camps were removed from the economic and cultural life of the host country, the refugees had to develop their own educational systems outside of the traditional schools of the host state. These schools were informal and depended entirely on the skills of the refugees themselves with minimal funding from UNRRA. At the highest level, ‘universities’ were set up that required exams and academic records for acceptance. Getting such valuable documentation from students who had been on the move for many years, was not always possible, so *ad hoc* exams were utilized so that students would be able to attest to the level and quality of their education.

Many refugees from eastern Europe soon realized that they were not going to be able to return home, where the Soviet Union now dominated the politics and culture of the entire region. “Gradually, [the UNRRA] operations slipped into a dull routine of care and maintenance, the spirit of which was reflected in the dreary, mournful appearance of the refugee camps and centers that dotted Central Europe a year and a half after the war was over.”<sup>25</sup>

In December 1946, UNRRA transferred all its obligations to a new UN agency, the International Refugee Organization (IRO), which was given more authority to work collaboratively with refugees to resolve concerns as to what was to be their ultimate fate. “Unlike UNRRA, which worked constantly at the end of a tight leash, IRO had its own resources and could negotiate agreements . . . with the military occupational authorities and with

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<sup>24</sup> Ibid p. 117.

<sup>25</sup> The Unwanted, p. 324.

governments.”<sup>26</sup> The IRO quickened the tempo of resettlement,<sup>27</sup> and “attempted to link the economic needs of various countries with particular skills among the DP population.”<sup>28</sup>

The IRO, working collaboratively with the refugees themselves, found a path forward for those who had felt unwanted and invisible. Refugees created educational opportunities and developed or renewed occupational skills.. They came to feel that their life would finally move forward again. It was going to be up to them to take what they thought they had irrevocably lost and integrate it into a new life. “If the authentic literature of the Croatian past , for example, was to be saved, it must be done by the DPs. If the Latvian language was to retain its purity and beauty before being Russified, it must be through the lips of the DPs.” In the refugee camps “were a people in exile who still yearned for the tales, songs and prayers of their native land.” Refugees were convinced that, while in exile, they still represented the heart and soul of their native country. “*They were now the sovereign nation.*”<sup>29</sup>

The refugee crisis of the 21st century has become global. The refugees themselves are from a wider scope; the Middle East and North Africa as well as south of the Sahara and from the Horn of Africa, East Asia and Central America. While refugee protection has become a global public responsibility under the 1951 Convention, nation-states have strong incentives to ‘free-ride’ on the contributions of other nation-states who are either more responsible in meeting global obligations, or luckier in being further away from the problem.<sup>30</sup>

In the Middle East, the destruction wrought by nation-states and their theocratic adversaries has shattered communities and traditional political loyalties. It has also badly

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<sup>26</sup> *The Unwanted*, p. 343.

<sup>27</sup> Between mid-1947 and the end of 1951, the IRO resettled just over one million refugees into new homes in new countries of destination. *Unwanted*, p. 344.

<sup>28</sup> *Ibid*, p. 344.

<sup>29</sup> *DP: Europe’s Displaced Persons*, p. 157. [Emphasis Added]

<sup>30</sup> Alexander Betts, “International Relations and Forced Migration,” in *Oxford Handbook of Refugee and Forced Migration Studies*, p. 66.

wounded a nascent civil society that had been the hope for the future in the Middle East. The Arab Spring had released a longing by young people –and others—for a world not dominated by theocratic institutions or the off-again, on-again brutality of autocratic nation-states. In Tunisia – which called forth the Arab Spring -- four members of Tunisian civil society were awarded the Nobel Peace Prize for their work in developing a countervailing governance approach that depended on the energy of the students, the pragmatism of shopkeepers, and the working poor to create a new demos. The nation-states of the Middle East have returned to bad, old habits, and it is the civil society leaders who currently carry the tenuous hopes of the Region. As stated in the Peace Prize announcement, this civil society Quartet filled the governance void in Tunisia:

. . . when the democratization process was in danger of collapsing as a result of political assassinations and widespread social unrest. It established an alternative, peaceful political process at a time when the country was on the brink of civil war. It was thus instrumental in enabling Tunisia, in the space of a few years, to establish a constitutional system of government, guaranteeing fundamental rights for the entire population, irrespective of gender, political conviction or religious belief.<sup>31</sup>

The inability (to date), of the Arab Spring to become a countervailing political force in the Middle East has in part led to the third mass migration of refugees of the past century. What lessons can we learn from the first two refugee crises that followed the World Wars? Unfortunately the refugee issue most often devolves into an ‘us’ against ‘them’ dichotomy that makes the search for consensus solutions difficult. In the middle, with few resources and few allies, are the functional international organizations (such as the League of Nations and UNHCR). It is time to expand the discussion and open up the playing field to other groups who have issues involved but who have been to date, ineffectual in stating their standing and pressing

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<sup>31</sup> The 2015 Nobel Peace Prize: “The National Dialogue Quartet” for their “decisive contribution to the building of a pluralistic democracy in Tunisia in the wake of the Jasmine Revolution of 2011.” Oslo, 10 October 2015.

for their involvement. One step that needs to be taken is to recognize that ‘us and them’ does not just mean refugees and the host country nation-states. As a scholar of refugee studies points out:

Far from being a ‘statist’ mode of governance, as it is often portrayed, the governance of forced migration now involves a range of non-state actors, including armed actors, NGOs, transnational civil society, and increasingly the private sector . . . . However, this role has rarely been recognized or studied in relation to forced migration.<sup>32</sup>

In light of the changing, indeed worsening refugee situation, and in light of the shortcomings of state-based solutions to refugee invisibility, what identity approaches have worked in the past, and what can work in the future?

Running parallel to the top-down authority of the nation-states, over the past century there has been the development of a countervailing authority for global governance utilizing the political and economic power, the expertise, and the gravitas of civil society and business. In a global world, the nation-states have been unable in many cases to supply the public goods of global governance needed to effectively run the global marketplace and supply consensus solutions for the wicked problems that an integrated world requires.

For example, because of the rigor of the private-sector, consensus-based standards process, the World Trade Organization (WTO) looks to internationally-recognized standards bodies to verify the *bona fides* of products in global trade. The nation-states have been known to claim that imported products fail to meet domestic health and safety rules in order to keep out foreign competition. Such national rulings are considered ‘technical barriers to trade.’<sup>33</sup> To help ensure that the nation-states cannot ‘game’ the world trade system through trumped-up health

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<sup>32</sup> Alexander Betts, “International Relations and Forced Migration,” in The Oxford Handbook of Refugee and Forced Migration Studies, p. 69.

<sup>33</sup> WTO, TBT, 15.4: “The Technical Barriers to Trade (TBT) Agreement aims to ensure that technical regulations, standards, and conformity assessment procedures are non-discriminatory and do not create unnecessary obstacles to trade.”

and safety assertions, products that conform to the standards of internationally-recognized standards bodies are considered to meet WTO requirements, and cannot be denied entry. Thus private sector standards have ‘standing’ at the WTO; standards created by legislatures or various government regulatory bodies in the nation-states are denied similar standing.<sup>34</sup> The European Community, the United States and the OECD have all urged that their regulatory bodies “refer to international voluntary standards, specifications and test methods rather than produce their own.”<sup>35</sup>

Among the first such international management standards – and ultimately the global standard with the most extensive use – is ISO 9000 on quality assurance systems. ISO 9000 is a process (and an exacting one) of the management criteria required in the global marketplace to ensure that customer expectations of product quality, price and distribution are met. Most current uses of ISO 9000 are by manufacturers supplying products and services into global supply chains. This is in large part due to the interest of potential exporters in East Asia, Eastern Europe and elsewhere to overcome “information asymmetries,” i.e. that buyers have no knowledge as to the *bona fides* of autonomous exporters, and so are looking for ways to assess the product quality and reliability of an unknown supplier. An accredited third-party audit of the suppliers management systems under an ISO 9000 certification process can act as a viable “quality signal” to a potential global purchaser that an unknown supplier is incapable of offering directly.

The dramatically successful uptake of the ISO 9000 quality assurance process was due in large part to a growing awareness by businesses and governments of the benefits that a trusted (i.e. accredited), and widely-accepted global standard could offer to participants in the global

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<sup>34</sup> World Trade Organization, Technical Barriers to Trade: Technical Explanation (Harmonization and the TBT Agreement), 16 December 2013. “For many years, technical experts have worked towards the international harmonization of standards. An important role in these efforts is played by the International Standardization Organization (ISO), the International Electrotechnical Commission (IEC) and the International Telecommunication Union (ITU). Their activities have had major impact on trade, especially in industrial products. For example, ISO has developed more than 9,600 international standards covering almost all technical fields.”

<sup>35</sup> Craig Murphy and JoAnne Yates, *The International Organization for Standards (ISO)*, New York, 2009, p. 44.

marketplace. Authoritative non-governmental organizations such as ISO are increasingly seen by public international organizations as appropriate venues for the resolution of global supply chain problems, the certification of trusted processes, and the creation of needed global public goods.

As an example, in the early 1990's a number of national initiatives on environmental issues began to create mixed political signals with the proliferation of potentially conflicting national and regional environmental standards on such issues as environmental management, life cycle assessment, sustainability, green labeling and disclosure. The lack of global harmonization was not only wasteful of environmental resources and muddled mitigation efforts, but it also created perverse incentives. WTO rules target countries, not companies, allowing environmentally reputable companies to suffer the same fate as 'bad actors' when country-specific trade penalties were assessed. ISO standards are both global and company-specific; the existence of national boundaries are irrelevant to the success (or failure) of meeting ISO management standards requirements.

The final incentive for ISO to move forward on developing an environmental management standard was the run-up to the June 1992 United Nations Conference on Environment and Development, where the conference leadership specifically asked the ISO Central Secretariat to make a commitment to create a global environmental standard. The resulting management system, ISO 14000, covers five separate environmental concerns; a baseline management system, auditing, green labeling, environmental performance evaluation, and life cycle assessment. As with ISO 9000 management system requirements, meeting what is now the ISO 14000 suite of environmental standards has become a prerequisite for many manufactures and other organizations who wish to participate in the global marketplace.

Certifying to global standards such as ISO 9000 and ISO 14000 thus address difficult sovereign-state governance issues. When there are questions about "the overall reputation of the

country in which the seller is located . . . ISO certification can act as a stronger signal of performance in contexts with corrupt or otherwise weak regulatory institutions.”<sup>36</sup>

The widespread global take-up and acceptance of these two global management standards is a clear sign that a) practicable global governance solutions can develop outside of the nation-state environment; and b) consensus governance solutions that induce good behavior can often be more viable than top-down attempts by the nation-states to prohibit bad behavior.

More recent efforts to create new global management standards, ISO 45000 on worker safety in global supply chains, and ISO 37000 on anti-bribery and anti-corruption efforts, have both been developed and ratified by ISO in the past few years. These global management standards are good examples of creating practicable solutions to those ‘wicked problems’ that have confounded the best (and worst) efforts by the nation-states to respond. “ISO has actually begun to become an alternative to our ineffective UN system of intergovernmental organization, a development that some observers of voluntary consensus standard setting have championed for almost a century.”<sup>37</sup>

Practicable global solutions require the work of many. Ensuring business and civil society are involved from the beginning of a solution-seeking process will almost certainly lead to better solutions and consensus support. Laws made by nation-states place all their political capital into the crafting of legislation. Once created, a ‘law’ is considered the final word, and lawmakers walk away. With standards creation, the consensus process requires that all affected parties participate. Unlike a law, a standard is expected to be dynamic, and thus subject to

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<sup>36</sup> Daniel Berliner and Aseem Prakash, “Public Authority and Private Rules,” *International Studies Quarterly*, 2014 (1-11), p.3. “Thus, exporting firms located in countries with reputations for environmental problems or low product quality can face a ‘market for lemons’ problem and suffer a competitive disadvantage in the international market. In such a context, sellers can use quality or environmental certifications to rebrand themselves and signal their commitment to high product quality and environmental stewardship to their foreign buyers.”

<sup>37</sup> Murphy-Yates, *International Organization for Standardization*, p. 68.

continuous review.<sup>38</sup> Thus the politics of law creation creates an artificial end-point. Standards creation and implementation is never considered concluded. “Hundreds of new standards are needed each year (to reflect new products and new services), and each standard needs to be updated constantly. As a result, when legislatures *do* set industrial standards, they have a tendency to leave them in place for decades or even generations, and inflexible, unamendable standards really *can* stifle innovation.”<sup>39</sup>

The ISO Committee on Developing Country Matters (DEVCO) held a meeting in late 2017 on Refugees and missing persons, “A new target for standardization?”, as part of the 2017 ISO General Assembly. The keynote speaker, Prof. Jurg Kesselring, Chair of the International Red Cross MoveAbility Board stated that, “When a product, activity or service meets ISO standards, there is a general expectation that it will be delivering what is expected of it.” At the same meeting, a spokesperson from the Ministry of Foreign Affairs in Lebanon, pointed out that 84% of the world’s refugees are hosted in developing countries. “Standardization is a way of putting in place practical solutions that are reproducible and harmonized, which could help host countries in their response to many of the issues they face while helping refugees.”<sup>40</sup>

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<sup>38</sup> ISO standards must be reviewed at least every five years, and most sooner than that. Standards creation should be considered a process of continuous, significant, incremental improvements.

<sup>39</sup> The International Organization for Standardization, p. 9.

<sup>40</sup> “Missing Persons and Refugees – a new target for standardization?” *FOCUS Magazine*, 19 September 2017.

## THE CURRENT STATUS OF STATE-BASED DIGITAL ID PROJECTS

Later in this paper some examples of non-state identity programs using information and communication technology will be offered. But a survey of digital identity programs from around the world reveals that many, if not most, attempts to use technology to establish identity are state-based. These state-based programs exhibit many of the strengths and weaknesses of digital identity programs generally, but they are, almost universally, not available to or of help to refugee populations.

Modern national identification is believed to have begun under Napoleon in the early 1800s, as the ruler was attempting to consolidate his governance of France.<sup>41</sup> Other countries tried other national identification schemes after that, most notably the Ottoman Empire in the late 1800s.<sup>42</sup> National identification became common during World War II, first with adoption in Europe, and with creation of national identification papers in England, Germany, France, and other countries.<sup>43</sup>

A more recent surge in national identification schemes began following the events of 9/11.<sup>44</sup> In 2005, the U.S. Congress passed the Real ID Act<sup>45</sup> to assure the consistency and

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<sup>41</sup> C. Jerzak, “A Brief History of National ID Cards” (*Harvard Univ. FXB Center for Health & Human Rights*, 12 November 2015) retrieved from <<https://fxb.harvard.edu/2015/11/12/a-brief-history-of-national-id-cards/>> accessed 4 April 2019.

<sup>42</sup> Ibid.

<sup>43</sup> Ibid.

<sup>44</sup> “The History of Federal Requirements for State Issued Driver’s Licenses and Identification Cards” (*National Conf. of State Legislatures*) retrieved from <<http://www.ncsl.org/research/transportation/history-behind-the-real-id-act.aspx>> accessed 4 April 2019.

<sup>45</sup> The Real ID Act of 2005, Pub. L. 109-13, 119 Stat. 131, Division B, Title II (11 May 2005), *codified at* 8 U.S.C. §§ 101, *et seq.*

reliability of the U.S. states' driver's licenses as identification. Driver's licenses are the principal form of identification in the United States and are widely used for airline travel, banking and other financial transactions, and for proof of age.

Shortly thereafter, the European Union took steps towards ensuring interoperability among digital identification beyond the borders of a singular nation. In 2011, the EU parliament adopted a regulation on digital identification and trust services for electronic transactions in the internal market,<sup>46</sup> which is commonly referred to as the eIDAS Regulation. The regulation requires that by 29 September 2018:

[w]hen an electronic identification using an electronic identification means and authentication is required under national law or by administrative practice to access a service provided by a public sector body online in one Member State, the electronic identification means issued in another Member State shall be recognised in the first Member State for the purposes of cross-border authentication for that service online . . .<sup>47</sup>

European Union member countries that have developed and rolled out digital identification cards under eIDAS include Bulgaria, Croatia, Estonia, Finland, Germany, Ireland, Italy, Malta, Netherlands, Spain, and Turkey.<sup>48</sup> Some of the identification cards are simply

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<sup>46</sup> EP Regulation of 23 July 2014, OJ 910/2014 L 257/73.

<sup>47</sup> Ibid, Article II, Chapter 6.

<sup>48</sup> M. Eichholtzer, "Overview of pre-notified and notified eID schemes under eIDAS" (2 January 2019) retrieved from <<https://ec.europa.eu/cefdigital/wiki/display/EIDCOMMUNITY/Overview+of+pre-notified+and+notified+eID+schemes+under+eIDAS>> accessed 4 April 2019.

travel cards that can be used in the EU, such as the passport card issued by Ireland.<sup>49</sup> Other identification cards permit the holders to access a range of services from the government as well as from banks, including those issued by Croatia<sup>50</sup>, Estonia<sup>51</sup>, Finland<sup>52</sup>, Germany<sup>53</sup> and Italy.<sup>54</sup>

Estonia's national identification card has multiple uses in accessing government and private services, such as health services, medical and prescription records, banking services, voter identification, and tax information. In addition, the Estonian identification can be loaded on an app on a mobile phone.<sup>55</sup>

Each EU country-issuer sets its own standards for what documentation is required for a holder to obtain an digital ID, and what biometrics or other personally identifying information will be imprinted as a part of the ID. For example, some EU countries embed one or more fingerprints or facial recognition metrics into the chip on the card. Germany permits ID holders

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<sup>49</sup> "Passport Card" (*Dept. of Foreign Affairs and Trade*) <<https://www.dfa.ie/passportcard/>> accessed 12 April 2019.

<sup>50</sup> "Overview of the Croatian eID Scheme" (*Ministry of Public Administration*, 27 June 2018) retrieved from <[https://ec.europa.eu/cefdigital/wiki/display/EIDCOMMUNITY/Croatia?preview=/62885743/65972541/G1.v4.0%20\\_%20Overview%20of%20the%20Croatian%20eID%20scheme.pdf](https://ec.europa.eu/cefdigital/wiki/display/EIDCOMMUNITY/Croatia?preview=/62885743/65972541/G1.v4.0%20_%20Overview%20of%20the%20Croatian%20eID%20scheme.pdf)> accessed 8 April 2019.

<sup>51</sup> ID-Card - e-Estonia" <<https://e-estonia.com/solutions/e-identity/id-card/>> accessed 8 April 2019.

<sup>52</sup> "Use of the Identity Card" <[https://www.poliisi.fi/identity\\_card/use\\_of\\_the\\_identity\\_card](https://www.poliisi.fi/identity_card/use_of_the_identity_card)> accessed 8 April 2019.

<sup>53</sup> "German National Identity Card–The Electronic Functions," <[https://www.personalausweisportal.de/EN/Citizens/German\\_ID\\_Card/Functions/Functions\\_node.html](https://www.personalausweisportal.de/EN/Citizens/German_ID_Card/Functions/Functions_node.html)> accessed 8 April 2019.

<sup>54</sup> "The New Italian National EID Card Goes Live (2018 Update)" (7 March 2019) <<https://www.gemalto.com/govt/customer-cases/new-national-identity-card-for-italy>> accessed 8 April 2019.

<sup>55</sup> *Supra*, n. 10.

to include two fingerprints on the card chip at the holder's option,<sup>56</sup> Croatia includes two fingerprints on the card chip<sup>57</sup> while Turkey includes all 10 fingerprints as well as palm prints.<sup>58</sup>

Electronic identification within the EU, however, is not universally accepted by its member states. Notably, the United Kingdom has not yet created a widely-accepted digital identification, although it has passed laws and regulations from time-to-time planning such rollouts. The Identity Cards Act 2006<sup>59</sup> was the first attempt to adopt a national digital identification card that would connect to a database containing the biometric information of the cardholders. The Identity Cards Act of 2006 was repealed in 2010 due to concerns regarding the large databases holding the biometric data, as well as the cost of rolling out the program.<sup>60</sup> The databases were to be destroyed and all cards issued under the program were declared invalid.<sup>61</sup>

Following the lead of the European Union, several regional trade groups have enacted various regulations, agreements and treaties to enable relatively free travel between the member countries using a national digital ID card in lieu of a passport.<sup>62</sup>

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<sup>56</sup> Supra, n. 61.

<sup>57</sup> Supra, n. 58, p. 9.

<sup>58</sup> "Distribution of Biometric Turkish ID Cards Begins" (*Hürriyet Daily News* 23 March 2016) <<http://www.hurriyetaidailynews.com/distribution-of-biometric-turkish-id-cards-begins-96822>> accessed April 15, 2019.

<sup>59</sup> O. Dowden, "GOV.UK Verify programme," HC Deb 9 Oct 2018 978WS.

<sup>60</sup> A. Travis, "ID Cards Scheme to Be Scrapped within 100 Days" *The Guardian* (London, 27 May 2010) retrieved from <<https://www.theguardian.com/politics/2010/may/27/theresa-may-scrapping-id-cards>> accessed 16 April 2019.

<sup>61</sup> "Identity Cards and New Identity and Passport Service Suppliers" (26 March 2013) <<https://www.gov.uk/guidance/identity-cards-and-new-identity-and-passport-service-suppliers>> accessed 28 March 2019.

<sup>62</sup> M. Czaika, H. de Haas and M. Villares-Varela, "The Global Evolution of Travel Visa Regimes" (2018) 44 *Population & Development Rev.* 589 (doi: 10.1111/padr.12166).

The member countries of the South American trade consortium Mercado Común del Sur (MERCOSUR)<sup>63</sup> recognize digital identification of other countries in the group in lieu of a passport.<sup>64</sup>

Also in a manner similar to the European Union, the Economic Community of Western Africa States (ECOWAS)<sup>65</sup> has created a scheme whereby citizens within the ECOWAS can travel freely to other member countries by using digital national ID.<sup>66</sup> The first country in the ECOWAS to issue an digital ID under the ECOWAS scheme was Senegal.<sup>67</sup>

The national identification card issued by Nigeria has practical day-to-day application. In addition to being used as identification to access government services, and for travel without a

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<sup>63</sup> The member states of the MERCOSUR are Argentina, Brazil, Paraguay, and Uruguay. Although formally a member, Venezuela's membership is currently suspended. Bolivia is in the process of becoming a member. Associate states of MERCOSUR are Chile, Columbia, Ecuador, Guyana, Peru, and Surinam. "MERCOSUR Countries" (*MERCOSUR*) <<https://www.mercosur.int/en/about-mercosur/mercosur-countries/>> accessed 12 April 2019.

<sup>64</sup> "XLVIII Summit of Heads of State of MERCOSUR and Associated States" (17 July 2015), retrieved from <[http://www.itamaraty.gov.br/images/documents/Documentos/Fact\\_Sheet\\_Mercosur\\_English.pdf](http://www.itamaraty.gov.br/images/documents/Documentos/Fact_Sheet_Mercosur_English.pdf)> accessed 11 April 2019.

<sup>65</sup> The countries in the ECOWAS are Benin, Burkina Faso, Capo Verde, Côte d'Ivoire, The Gambia, Ghana, Guinea, Guinea-Bissau, Liberia, Mali, Niger, Nigeria, Senegal, Sierra Leone and Togo. <<http://www.bidc-ebid.org/english/>> accessed April 12, 2019.

<sup>66</sup> S. Atkins, "Turning the ECOWAS ID Card from Vision to Reality" (*The Silicon Trust* 13 April 2018) <<https://silicontrust.org/2018/04/13/turning-the-ecowas-id-card-from-vision-to-reality/>> accessed 12 April 2019.

<sup>67</sup> A. Perala, "Senegal First ECOWAS Member to Begin Issuance of Biometric IDs" (*FindBiometrics*, October 7, 2016) <<https://findbiometrics.com/senegal-biometric-id-310071/>> accessed April 12, 2019

passport to several other member countries of the ECOWAS<sup>68</sup>, the card can also be used as a payment device through MasterCard.<sup>69</sup> The Nigeria ID card stores all 10 fingerprints on a chip on the card.<sup>70</sup>

Ghana has also created a national identity card that can be used for travel in the ECOWAS.<sup>71</sup> The Ghana ID card has both a physically readable chip as well as a RFID chips and contains the users fingerprints.

More recent developments in national identification systems are the usage of biometrics, exploiting the individuality of physical characteristics of fingerprints, iris, face, veins, and other body parts.

India leads the field in biometric identification with its national digital identification card known as the Aadhaar card.<sup>72</sup> The Aadhaar database is believed to be the largest biometric database in the world, with over one billion users.<sup>73</sup> The card is on paper, with a two-dimensional barcode known as a QR code that contains some of the demographics of the cardholder and a digitized photo in addition to having a digital key giving the reading device access to more of the ID holder's demographics and biometric data contained on a central

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<sup>68</sup> Ibid.

<sup>69</sup> "About the e-ID card," (*National Identity Management Commission*) <<https://www.nimc.gov.ng/the-e-id-card/>> accessed 9 April 2019.

<sup>70</sup> "Nigerian National ID Program : an Ambitious Initiative" (*Gemalto* 7 March 2019) <<https://www.gemalto.com/govt/customer-cases/nigeria-eid>> accessed 12 April 2019.

<sup>71</sup> "FAQs on the Ghana Card and Related Matters" (*National Identification Authority*) <<https://www.nia.gov.gh/faq.html>> accessed 12 April 2019.

<sup>72</sup> "What Is Aadhaar," (*Unique Identification Authority of India*) <<https://uidai.gov.in/what-is-aadhaar.html>> accessed 8 April 2019.

<sup>73</sup> "India" (*Electronic Frontier Foundation*, 4 May 2018) retrieved from <<https://www.eff.org/issues/national-ids/india>> accessed 28 March 2019.

database.<sup>74</sup> Holders can download and print their own card from any computer and printer.<sup>75</sup> In addition, the Aadhaar card can be accessed using an Android smartphone.<sup>76</sup> The biometrics database for each card holder contains 10 fingerprints, iris scans of both eyes, and digital facial recognition, making it the most exacting of all government issued digital identification schemes.<sup>77</sup>

The concentration of personal biographic, demographic and biometric data of the holders of the Aadhaar card is controversial within India.<sup>78</sup> The constitutionality of the Aadhaar program as well as whether the Indian constitution contains a fundamental right to privacy was argued before the Supreme Court of India.<sup>79</sup> In a unanimous decision, a nine-judge panel held that the Indian constitution does contain a fundamental right to privacy and that certain aspects of the Aadhaar scheme violated that right to privacy, particularly the ability of non-governmental,

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<sup>74</sup> “Updated QR Code to Shield Aadhaar Information” *The Times of India* (19 April 2018) <<https://timesofindia.indiatimes.com/india/updated-qr-code-to-shield-aadhaar-info/articleshow/63823001.cms>> accessed 16 April 2019

<sup>75</sup> P. Motiani, “How to Get Duplicate Aadhaar Online If You Have Lost Yours” (*Economic Times*, 23 November 2018) retrieved from <<https://economictimes.indiatimes.com/wealth/personal-finance-news/lost-your-aadhaar-heres-how-to-get-a-duplicate-aadhaar-online/articleshow/59466455.cms>> accessed 8 April 2019.

<sup>76</sup> “mAadhaar FAQs,” (*Unique Identification Authority of India*) <<https://uidai.gov.in/contact-support/have-any-question/285-faqs/your-aadhaar/maadhaar-faqs.html>> accessed 9 April 2019.

<sup>77</sup> “Aadhaar Features, Eligibility,” (*Unique Identification Authority of India*) <<https://uidai.gov.in/contact-support/have-any-question/286-faqs/your-aadhaar/aadhaar-features,-eligibility.html>> accessed 9 April 2019.

<sup>78</sup> Shukla S, “Aadhaar Verdict: Why Privacy Still Remains a Central Challenge” *The Economic Times* (Mumbai, 27 September 2018) retrieved from <<https://economictimes.indiatimes.com/news/politics-and-nation/aadhaar-verdict-why-privacy-still-remains-a-central-challenge/articleshow/65970934.cms?from=mdr>> accessed 16 April 2019.

<sup>79</sup> Puttaswamy v. Union of India, (2017) 10 Scale 1.

commercial entities to access the Aadhaar database in order to confirm the identity of an Aadhaar cardholder.<sup>80</sup>

Israel law requires that all Israeli citizens carry the national identification card, the "Tehudat Zehut."<sup>81</sup> The card has a machine-readable chip that contains digital facial recognition and the prints of two fingers.<sup>82</sup>

Saudi Arabia's digital identification is required to be carried by all of its citizens and expatriates in the country.<sup>83</sup> The identification system connects to a central database that contains all 10 fingerprints of each registrant. In contrast to other similar national ID cards, Saudi Arabia specifically has made it illegal to remove the card from the Persian Gulf region.<sup>84</sup> Although all citizens are required by law to have a national ID, women in Saudi Arabia tend not to have the identification, but the government has a campaign to assure that women are able receive the required ID.<sup>85</sup>

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<sup>80</sup> Ibid.

<sup>81</sup> "Apply for an ID," (*Population and Immigration Authority*) <[https://www.gov.il/en/service/biometric\\_smart\\_id\\_request](https://www.gov.il/en/service/biometric_smart_id_request)> accessed 9 April 2019.

<sup>82</sup> Ibid.

<sup>83</sup> "Deadline for Enrollment in Saudi Arabia Biometric Registry Looms" (*FindBiometrics*, 19 January 2015) <<https://findbiometrics.com/deadline-for-enrolment-in-saudi-arabia-biometric-registry-looms/>> accessed April 15, 2019.

<sup>84</sup> "National ID" (*Ministry of Interior*) <[https://www.moi.gov.sa/wps/portal/!ut/p/z0/04\\_Sj9CPykssy0xPLMnMz0vMAfIjo8ziDTxNTDwMTYy8\\_Y0dXQ0czdx8LIMsDQw9DQ30g1Pz9L30o\\_ArApqSmVvYGOWoH5Wcn1eSWIGiH5Gbn6mQklmemKdqAGJm5OemKoA180pUDUA8VYOi1PTSHLBLwGri86CuUshMiU9JTUsszSmJB1pfkO0eDgACsLK3/](https://www.moi.gov.sa/wps/portal/!ut/p/z0/04_Sj9CPykssy0xPLMnMz0vMAfIjo8ziDTxNTDwMTYy8_Y0dXQ0czdx8LIMsDQw9DQ30g1Pz9L30o_ArApqSmVvYGOWoH5Wcn1eSWIGiH5Gbn6mQklmemKdqAGJm5OemKoA180pUDUA8VYOi1PTSHLBLwGri86CuUshMiU9JTUsszSmJB1pfkO0eDgACsLK3/)> accessed 15 April 2019.

<sup>85</sup> H. Toumi, "Campaign for Saudi Women to Apply for Individual ID Cards" (*Gulf News*, 7 November 2018) <<https://gulfnews.com/world/gulf/saudi/campaign-for-saudi-women-to-apply-for-individual-id-cards-1.2186407>> accessed 15 April 2019.

Australia has the most modern form of digital identification. To enroll in the identification service, a user downloads an app onto an Android or Apple phone, opens the app and completes the questionnaire, which is electronically verified with government databases.<sup>86</sup> The user then takes a “selfie” photo on the phone, which is digitally compared to existing government records.<sup>87</sup> The user will then take the phone and a passport or driver’s license to the post office, Australia Post, which confirms the paper documents and activates the digital ID.<sup>88</sup> After activation, when identification is needed the app will generate a QR code that can be scanned by government agencies and used in financial and other private transactions.<sup>89</sup> Australia Post owns the URL “*digitalid.com*” through which it promotes the ID app and offers instructions to users and merchants on how to apply for and use the ID app.

The New Zealand tax authority has a unique experiment in biometric identification: voice ID.<sup>90</sup> A user calls a phone number and recites her or his tax identification number. The voice is digitized creating a voice print, and saved so that the voice alone can identify the caller in the future. A New Zealand finance minister reported in 2015 that 1.4 million taxpayers,<sup>91</sup> of an estimated population of 4.6 million,<sup>92</sup> had registered for the voice ID service. A similar voice ID service is also being used by a New Zealand bank.<sup>93</sup>

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<sup>86</sup> “Digital ID Help & Support,” (*Australia Postal Corp.*) <<https://www.digitalid.com/help-support?c=DigApp100#app>> accessed 9 April 2019.

<sup>87</sup> Ibid.

<sup>88</sup> Ibid.

<sup>89</sup> Ibid.

<sup>90</sup> “Voice ID Video” (*Inland Revenue*, 12 March 2019) <<https://www.ird.govt.nz/help/demo/voice-id/voice-id-index.html>> accessed 15 April 2019.

<sup>91</sup> “1.4 Million Customers Sign up for IRD Voice ID” (*The Beehive*, 11 June 11) <<https://www.beehive.govt.nz/release/14-million-customers-sign-ird-voice-id>> accessed 15 April 2019.

<sup>92</sup> Estimated population as of June 2015. “*Population | Stats NZ*” <<https://www.stats.govt.nz/topics/population>> accessed April 19, 2019.

An age-old question on what information is part of a person’s “identity” has caused problems for digital identification programs in Egypt and Afghanistan. Egyptian law requires that all Egyptians have a national digital identification card, which is required to access government services. In order to apply for the card an applicant must declare her or his religion. However, Egypt only allowed the applicant to chose Muslim, Judaism, or Christianity as a religion. Applicants who did not choose one of the three recognized religions were refused ID cards. A lawsuit was filed by adherents to the Bahá’í faith claiming that their inability to obtain a national identification card without mis-stating their religion was a violation of their rights under Egyptian law. In 2008, the Egyptian Court of Administrative Justice ruled in two cases that Egyptian citizens who are not Christian, Muslim or Jewish may have the religion omitted from their identification card.<sup>94</sup>

Further complicating roll out of the digital ID card in Egypt was the difficulty of getting identification cards to women. Identification cards are required in Egypt to obtain access to most government services. However, Egyptian women often do not have such identification.<sup>95</sup>

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<sup>93</sup> “ANZ Phone Banking” (ANZ) <<https://www.anz.co.nz/banking-with-anz/ways-to-bank/phone-banking/>> accessed April 15, 2019.

<sup>94</sup> Case nos. 58/18354 and 61/12780, 29 January 2008, Court of Administrative Justice, *cited in* “Freedom of Religion and Belief in Egypt, Quarterly Report (*Egyptian Initiative for Personal Rights*, January-March 2008), accessed 18 April, 2019. *See also* “First Identification Cards Issued to Egyptian Baha'is Using a ‘Dash’ Instead of Religion” (*Bahá’i World News Service*, 14 August 2009) <<https://news.bahai.org/story/726/>> accessed 18 April 2019.

<sup>95</sup> “Women’s Political Participation in Egypt” (OECD, July 2018), p. 56, retrieved from <http://www.oecd.org/mena/governance/womens-political-participation-in-egypt.pdf> accessed 18 April 2019.

Through a United Nation Development Programme called the Citizenship Initiative, over two million Egyptian women have been able to obtain national identification cards.<sup>96</sup>

In Afghanistan, the distribution of digital identification cards, know as e-Tazkiras, has been delayed several times over disputes over nationalist and ethnic descriptions on the cards.<sup>97</sup> Ethnic minorities in Afghanistan believe that the word “Afghan” historically describes members of the Pashtun tribe, and that the identification should be related to the name of the country.<sup>98</sup> The current low adoption rate of the e-Tzkiras has raised concerns about the ability to hold fair elections.<sup>99</sup>

Some non-governmental organizations have also created digital identification to be used for purposes outside of the business needs of the organizations. For example, the financial services industries in Sweden<sup>100</sup> and Norway<sup>101</sup> have each created their own “BankID” which, in addition to accessing automatic teller machines, can be used to access government services, and

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<sup>96</sup> “Egypt: ID Cards Help Women Proclaim Their Existence” (*UNDP*) <[https://www.undp.org/content/undp/en/home/ourwork/ourstories/a-young-woman\\_s-pursuit-of-proclaiming-her-existence.html](https://www.undp.org/content/undp/en/home/ourwork/ourstories/a-young-woman_s-pursuit-of-proclaiming-her-existence.html)> accessed 18 April 2019

<sup>97</sup> H. Shalizi, “Who Is an Afghan? Row over ID Cards Fuels Ethnic Tension” (*Reuters*, 8 February 2018) <<https://www.reuters.com/article/us-afghanistan-politics/who-is-an-afghan-row-over-id-cards-fuels-ethnic-tension-idUSKBN1FS1Y0>> accessed 18 April 2019.

<sup>98</sup> *Ibid.*

<sup>99</sup> S. Salahuddin and P. Constable, “Afghanistan’s New National ID Card Has Finally Debuted, and It’s Already Plagued by Problems” *The Washington Post* (3 May 2018) <[https://www.washingtonpost.com/world/asia\\_pacific/afghanistans-new-national-id-card-has-finally-debuted-and-its-already-plagued-by-problems/2018/05/03/b9449ba4-4ee8-11e8-85c1-9326c4511033\\_story.html?utm\\_term=.602b76b77017](https://www.washingtonpost.com/world/asia_pacific/afghanistans-new-national-id-card-has-finally-debuted-and-its-already-plagued-by-problems/2018/05/03/b9449ba4-4ee8-11e8-85c1-9326c4511033_story.html?utm_term=.602b76b77017)> accessed 18 April 2019.

<sup>100</sup> “BankID” <<https://www.bankid.com/en/>> accessed 10 April 2019.

<sup>101</sup> “BankID” <<https://www.bankid.no/en/private/>> accessed 10 April 2019.

as general identification throughout the country. Both countries also make the digital identification available on an app for a mobile phone.

### **Problems with Nation issued identity**

As Aresty and Schmitz argue, “In the 21st century, individuals should have a digital identity not linked to citizenship, and be able to exercise the right to own and control it.”<sup>102</sup> In a rapidly digitizing and globalizing environment, current national identification systems have a limitation in terms of solving the issues of privacy, portability and interoperability.

Centralized databases of national identities serve as an attractive honeypot for hackers, whose repertory of tools available for breaking in increases faster than digital identity protection can keep them out. Most of the systems require unique login and passwords, which are then stored in a centralized ‘location’ (e.g. keyserver), thereby creating multiple vulnerabilities. There have been a number of data breaches in government systems, with a number of minor ones happening every day without being publicized. The US Postal Service had 60 million records stolen in 2018 due to poor security,<sup>103</sup> in 2012, hackers stole 9 million records from the Greek government;<sup>104</sup> and nearly 2.5 million Syrian government records have been lost or compromised.

In addition to protecting data in their own databases, national governments have been increasingly challenged by unwanted dissemination of personal information kept by corporations. In the most recent case, Cambridge Analytica gained unauthorized access to the personal identifiable information (PII) of 87 million Facebook users, and used it for targeted

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<sup>102</sup>“Blockchain Can Empower Stateless Refugees,” Aresty and Schmitz, Law360 Perspectives, 2 December 2018. [https://papers.ssrn.com/sol3/papers.cfm?abstract\\_id=3297182](https://papers.ssrn.com/sol3/papers.cfm?abstract_id=3297182)

<sup>103</sup> J. Biggs, “US Postal Service exposed data of 60 million users” (TechCrunch 26 November 2018) <<https://techcrunch.com/2018/11/26/the-us-postal-service-exposed-data-of-60-million-users/>> accessed 12 April 2019

<sup>104</sup> Steadman I, “Hacker arrested for allegedly stealing ID info of most of Greece” (*Wired* 22 November 2012) <<https://www.wired.co.uk/article/greece-id-theft>> accessed 11 April 2019

political campaigns worldwide.<sup>105</sup> For refugee populations, the security of data is perhaps perceived as even more important than for ‘regular citizens.’ Most humanitarian workers would confirm that refugees care about privacy, perhaps because privacy is often related to security and personal safety.

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<sup>105</sup> Kevin Granville, “Facebook and Cambridge Analytica: What You Need to Know as the Fallout Widens,” NYT online, Marcy 19, 2018. Available at <https://www.nytimes.com/2018/03/19/technology/facebook-cambridge-analytica-explained.html>

## EXAMPLES OF NON-STATE-BASED DIGITAL IDENTITY PROJECTS

### **Truu: The First Self-Sovereign Identity Solution for Healthcare Workers<sup>106</sup>**

Created by Dr Manreet (Manny) Nijjar, an Infectious Disease physician who was born of Indian heritage in Kenya and has worked for over a decade in the UK's National Health Service, Truu addresses the disparity in access to healthcare services across the globe. Dr. Nijjar's work was prompted by observations about the danger of siloed identities for health care workers in high stress environments.

A common theme generally across cultures is the trusted relationships that individuals build up with doctors, nurses and allied healthcare professionals.<sup>107</sup> This has led them to be the most respected and trustworthy professionals across the world.<sup>108</sup>

Built on morals and a code of professional ethics, healthcare workers form this trust through their understanding of confidentiality (privacy), consent (control) and respecting an individual's wishes (autonomy). Nevertheless, this trust can be broken when individual actors, despite the systems in place, cause harm or risk to patients.

One major problem is that Doctors interact with multiple organisations, so their identity data is usually siloed. Before Truu there was no easy process for these organisations to communicate with each other to share this information. The administrative burden for both

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<sup>106</sup> <https://www.truu.id/>

<sup>107</sup> Global Teacher Status Index 2018 <https://www.varkeyfoundation.org/media/4790/gts-index-9-11-2018.pdf>

<sup>108</sup> Ipsos MORI Veracity Index 2018  
[https://www.ipsos.com/sites/default/files/ct/news/documents/2018-11/veracity\\_index\\_2018\\_v1\\_161118\\_public.pdf](https://www.ipsos.com/sites/default/files/ct/news/documents/2018-11/veracity_index_2018_v1_161118_public.pdf)

doctors (some of whom can have up to 15 identity checks in a 10 year period) and organisations, fueled a commitment to finding a digital identity solution to solve the problem.

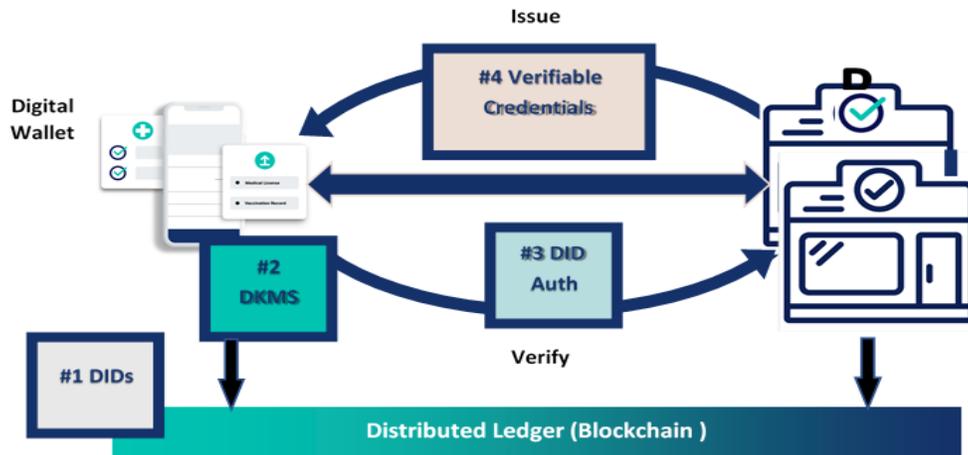
Dr. Nijjar researched centralised, federated and user centric digital identity systems, all of which were useful for certain cases. However, they did not meet the requirements of portability, privacy, security and scalability required. The conclusion of the research was that a self-sovereign/ multi source identity would work. Not only would it increase the level of trust in the current physical world process for doctors, it would bring this trust into the digital world along with the rapid emergence of health technology. Truu is the world's first self-sovereign identity solution for healthcare workers.

Building these technologies offers a great benefit to the public good. Two areas of areas of need and benefit are:

- Working toward the Millennium Development Goal of universal health coverage, which highlighted the global challenges of an estimated deficit of 14 million global healthcare workers by 2035.
- Helping doctors, as highly trusted professionals, cascade this trust (trust waterfall) to people who need this care most, such as refugees in post conflict areas or individuals in resource poor countries. By providing health credentials to these people (adults or children) Truu can provide the basis and foundation of their own identities.

In order for Truu's approach to work it needed to be built on a global public utility that is underpinned by open standards and interoperability, with the fluidity and flexibility to cater to local and regional needs, while meeting international standards.

The following technologies and emerging international open standards have allowed Truu to build and develop a trusted digital identity solution for doctors in the UK that can be scaled for healthcare workers across the globe.



### Distributed Ledger Technology (DLT)

Sovrin Network<sup>109</sup> is an open source project creating a global public utility for self-sovereign identity. The Sovrin Network enables people, organizations or IT devices to prove things about themselves to anyone or anything, peer-to-peer, using data that the other party can verify. When anyone or anything is able to trust who or what it is dealing with online, massive amounts of friction can be removed, user experience can improve, and the transaction processes can be simplified. The Sovrin network is built on top of Hyperledger Indy, part of the Hyperledger project.

Hyperledger is an open source collaborative effort created to advance cross-industry blockchain technologies. It is a global collaboration, hosted by The Linux Foundation, including leaders in finance, banking, Internet of Things, supply chains, manufacturing and technology

Hyperledger Indy<sup>110</sup> is a distributed ledger, purpose-built for decentralized identity. It provides tools, libraries, and reusable components for creating and using independent digital identities rooted on blockchains or other distributed ledgers so that they are interoperable across administrative domains, applications, and any other “silo.”

<sup>109</sup> The Sovrin Network <https://sovrin.org/>

<sup>110</sup> Hyperledger Indy <https://www.hyperledger.org/projects/hyperledger-indy>

Because distributed ledgers cannot be altered after the fact, it is essential that use cases for ledger-based identity carefully consider foundational components, including performance, scale, trust models, and privacy. In particular, Privacy-by-Design and privacy-preserving technologies are critically important for a public identity ledger where correlation can take place on a global scale.

For all these reasons, Hyperledger Indy has developed specifications, terminology, and design patterns for decentralized identity along with an implementation of these concepts that can be leveraged and consumed both inside and outside the Hyperledger Consortium.

### **Decentralised Identifiers<sup>111</sup> (DIDs)**

A Decentralized Identifier (DID) is a new type of identifier that is globally unique, resolvable with high availability, and cryptographically verifiable. DIDs are typically associated with cryptographic material, such as public keys and service endpoints, for establishing secure communication channels. DIDs are useful for any application that benefits from self-administered, cryptographically verifiable identifiers such as personal identifiers, organizational identifiers, and identifiers for Internet of Things scenarios. For example, current commercial deployments of W3C Verifiable Credentials heavily utilize Decentralized Identifiers to identify people, organizations and things to achieve a number of security and privacy-protecting guarantees.

### **Decentralised Key Management Solution<sup>112</sup> (DKMS)**

DKMS is a new approach to cryptographic key management intended for use with blockchain and distributed ledger technologies where there are no centralized authorities. DKMS inverts a core assumption of conventional PKI (public key infrastructure) architecture, namely that public key certificates will be issued by a relatively small number of centralized or federated

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<sup>111</sup> W3C A Primer for Decentralized identifiers <https://w3c-ccg.github.io/did-primer/>

<sup>112</sup> [1]Decentralized Key Management System Rebooting Web of Trust #4 Drummond Reed Paris 2017 <https://github.com/WebOfTrustInfo/rwot4-paris/blob/master/topics-and-advance-readings/dkms-decentralized-key-mgmt-system.md>

certificate authorities (CAs). With DKMS, the initial “root of trust” for all participants is a distributed ledger that supports a new form of root identity record called a DID (decentralized identifier).

A DID is a globally unique identifier generated cryptographically so it requires no central registration authority. Each DID points a DDO (DID descriptor object), a JSON-LD object containing the associated public verification key(s) and a pointer to off-ledger agent(s) supporting peer-to-peer interactions with the identity owner. From this baseline, trust between peers can be built up in two ways:

1. Challenge/response messages for real-time verification of public keys.
2. Exchange of identity attribute claims signed by other trusted peers (“verifiable claims”) whose public keys can also be verified against the ledger.

This decentralized “web of trust” model leverages the security, privacy, and resiliency properties of distributed ledgers to provide highly scalable key distribution, verification and recovery, finally making PKI accessible to everyone

### **Verifiable credentials**<sup>113</sup>

In the physical world, a credential might consist of:

- Information related to the subject of the credential (for example, a photo, name, and identification number);
- Information related to the issuing authority (for example, a city government, national agency, or certification body);
- Information related to the specific attribute(s) or properties being asserted by the issuing authority about the subject;
- Evidence related to how the credential was derived;
- Information related to expiration dates.

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<sup>113</sup> W3C Verifiable Credentials Data Model 1.0 <https://www.w3.org/TR/verifiable-claims-data-model/#what-is-a-verifiable-credential>

A verifiable credential can represent all of the same information that a physical credential represents. The addition of technologies, such as digital signatures, makes verifiable credentials more tamper-evident and more trustworthy than their physical counterparts. Holders of verifiable credentials can generate presentations and then share these presentations with verifiers to prove they possess verifiable credentials with certain characteristics. Both verifiable credentials and verifiable presentations can be transmitted rapidly, making them more convenient than their physical counterparts when trying to establish trust at a distance.

While this specification attempts to improve the ease of expressing digital credentials, it also attempts to balance this goal with a number of privacy-preserving goals. The persistence of digital information, and the ease with which disparate sources of digital data can be collected and correlated, comprise a privacy concern that the use of verifiable and easily machine-readable credentials threatens to make worse.

### **DID Auth<sup>114</sup>**

The term DID Auth has been used in different ways and is currently not well-defined. Truu defines DID Auth as a ceremony where an identity owner, with the help of various components such as web browsers, mobile devices, and other agents, proves to a relying party that they are in control of a DID. This means demonstrating control of the DID using the mechanism specified in the DID Document's "authentication" object. This could take place using a number of different data formats, protocols, and flows. DID Auth includes the ability to establish mutually authenticated communication channels and to authenticate to web sites and applications.

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<sup>114</sup> Introduction to DID auth. Rebooting Web of Trust #6 Markus Sabadello et al Jul2018  
<https://github.com/WebOfTrustInfo/rwot6-santabarbara/blob/master/final-documents/did-auth.md>

## **Tykn: The Future of Resilient Identity**<sup>115</sup>

A third of the refugees in Europe are children, and while some are lucky and get documented, hundreds of thousands in Syrian refugee camps do not have birth certificates, and newborns in camps are unable to complete the birth registration process due to economic, geographical, and complex administrative barriers.

Ultimately, the experiences the refugees shared with Tey in the Dutch refugee camp regarding the hardships they suffered as "invisible people" gave him the inspiration and motivation to found Tykn with the ultimate goal of reinforcing identity infrastructures to make them more resilient, providing higher socio-economic inclusion.

Tykn is envisioned not just as a company, but as a movement attacking the multi-headed "identity crisis" problem from multiple angles, focusing on a single goal: transforming the lives of millions impacted by lack of verifiable identity. Experience on the ground creates an inherent understanding that solving for basic identity is just an enabler – true value will only be unlocked once linked benefits can be realised. As such, Tykn's focus is not just on resolving challenges at the individual level, but also on optimising the remedy engine and creating the infrastructure of humanitarian agencies and NGOs globally.

### **Two Types of Credentials**

Our identity consists of many different components called credentials (e.g. a name, date of birth or nationality). Tykn distinguishes two types of credentials:

**Dynamic credentials:** These points of information can change over time, such as one's place of legal residence or nationality. Dynamic credentials have a degree of dynamicity or tendency to change, with some subject to shorter

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<sup>115</sup> <https://tykn.tech/>

intervals of change than others. Nationality, for example, can change, but will not necessarily change.

**Static credentials:** These points of information do not change and are thus deemed static (e.g., one's date of birth, blood type or family ties). Additional static information can be added, but the basic information will not change.

The only identity document (on- or offline), which has a global standard in terms of format and verification procedure is a passport, since these are attested by one of the national governments. National governments are part of a global trust network in terms of identity verification, since the verifying government trusts the issuing government and thus subsequently trusts the identity owner. This concept illustrates the importance of identification rather than identity, which in itself is useless without this trust network that allows interoperability and multi-purpose usage. Within the digital realm, only siloed versions of identity exist, since there is no global network of identity attestors that can provide a single verified and interoperable identity. Issuers create a single-purpose usable identity in the form of an account for their respective use case. This means that identity owners hold an array of different accounts with a single use, instead of a single one with full interoperability. The real problem of identity lies in identification.

The Harvard Humanitarian Initiative (HHI)<sup>116</sup> has distinguished five fundamental rights in humanitarian information activities to protect information rights, but these also apply to all other identity owners.

i) **Right to protection** Every person should be protected from (in)direct harm in terms of life, liberty and personal security from the ICT services or data that is related to them, with respect to the potential misuse of this data that could cause harm.

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<sup>116</sup> <https://hhi.harvard.edu/>

ii) **Right to information** Access to information and methods of communication have become a basic human right. They should be able to generate, access, acquire, transmit and benefit from information, specifically in the context of crises.

iii) **Right to privacy and security** The basic human right that a person's data is treated with ethical, technical and legal standards for the protection of individual privacy, consistently.

iv) **Right to data agency** The collection, use and disclosure of PIIs should lie within the agency of the data owner. Also with the threat of the misuse of aggregated PIIs into DIIs, which could lead to information threat through breaches on a population level.

v) **Right to rectification and redress** When a person's collected information is false, inaccurate and/or incomplete they hold the right to rectification. A person should have the right of access to all personal information collected on themselves. When there is (in)direct harm as a result of this relevant parties should be able to redress from this.

## **InternetBar.Org: Secure DIDs Enable Trusted Online Communities**

Across the globe, virtual communities are being self-organized into electronically linked networks of people, coming together for some shared purpose. This organic growth of online communities is, perhaps, inevitable given the open structure of the Internet, but the fractured existence of these online communities echoes some of the same problems that are found in existing state-based identity programs: one's identity in one place may be not recognized or may be seen as invalid in another. And, importantly for refugees, many of these 'organic' online communities are essentially unavailable to refugees and other disenfranchised persons.

In order to flourish, these communities need to develop trusted internal networks, which the InternetBar.Org (IBO) calls 'Trusted Online Communities' (TOCs), but they also need to be part of a global 'justice layer' of the Internet. There are social and commercial layers in the 'real world,' most of which are defined by venue and proximity. Again in the real world, there is a patchwork of justice systems that operate to support the commercial and social layers, and which are themselves defined by location, or, in legal terms, venue and standing. The aim of IBO is to facilitate the creation of a true justice layer for the Internet that supports the boundryless social and commercial layers we have created in cyberspace. A large part of this effort depends upon the creation of secure, managable, sovereign digital identities.

### **PeaceTones: Access to Display/Commercialize Creative Works on the Internet**

IBO, a TOC itself, exists as a virtual legal community, helping to establish rules of conduct and guidelines for an online justice system to support civil society's norms and standards for conduct in cyberspace.

PeaceTones, and the digital identities for artists it creates, is a project rooted in the field of intellectual property law. If law is supposed to be a protector of creation, why does IP law put

most artists and musicians in the poor house? They can only rely upon highly complex IP law to protect their creations if they can afford to employ a host of intermediaries who exist between their creations and the marketplace. Without trusted digital identities, individuals or organizations operating as elements in the music supply chain cannot hold each other accountable. In traditional business relationships in music, most of the creators' revenues get tied up with the work performed by intermediaries who were engaged to protect their work and get their products to market. In many cases, musicians and artists must actually give up ownership, or partial ownership, of their creations to get them to the marketplace. This has especially been the case for artists who come from conflict, post conflict, and stressed environments where they are practically disenfranchised and invisible. Since its inception in 2008, PeaceTones has taken artists from countries where it was difficult or impossible to protect IP (e.g., Haiti, Sierra Leone, and Brazil), and given them the opportunity to build a profitable business selling and performing their music. Essentially, IBO has begun building a fair trade music model on the Internet, based in part of the creation of strong digital identities for artists and connecting creative work to those identities.

The most recent PeaceTones project, The World United in Song (WUIS), is the first step toward combining access to opportunity through digital IDs with a wider “Invisibles” project that aims to use health care information as the first, trust building, step in the development of complete digital identities for refugees. WUIS is a compilation of “11 original songs and videos from musicians living in refugee camps, post conflict countries, and some of the most marginalized regions of the world.”<sup>117</sup>

### **The Invisibles: Refugee Identity and Credentials on the Internet**

This Invisibles is an IBO legal project designed to work with technology partners to create legal digital identities for stateless refugees utilizing blockchain technology and a self sovereign identity system. The project has begun with field work in Bangladesh among Rohingya refugees. According to data collected by The UN Refugee Agency, there are

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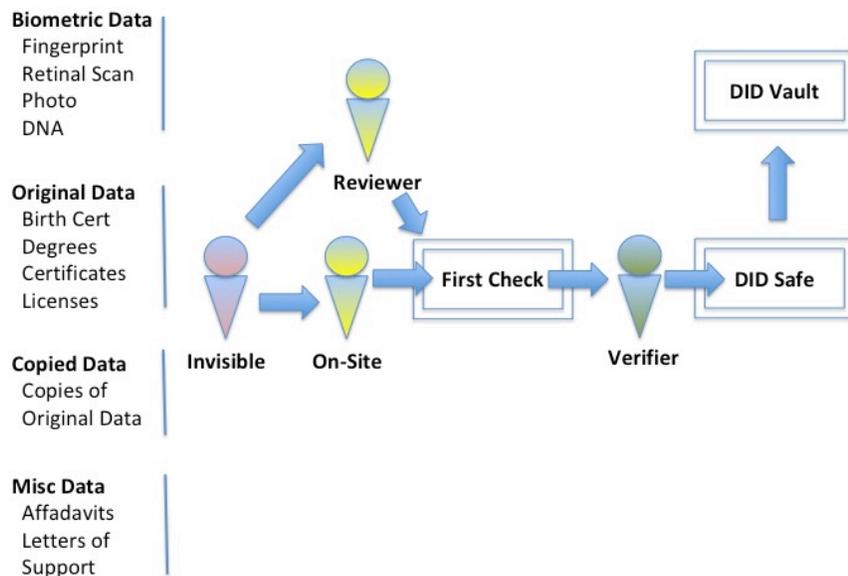
<sup>117</sup> <https://peacetones.org/projects/world-united-song/>

approximately ten million stateless, people without personal identification, who have been denied a nationality and access to basic rights such as education, healthcare, employment and freedom of movement. These are ten million refugees around the world who could benefit from the the creation of a sound digital identity to gain access to basic services, education and employment. As the project develops, it will include finding/creating ID Elements, storing/using ID Elements and helping refugees use new IDs to get access to justice, find employment, get an education, or receive healthcare.

## DIGITAL ID PROCESS MODEL

The history of refugee identity problems, the clear shortcomings of state-based digital identity programs, and the array of digital identity projects underway through NGOs or aid organizations all point out the need for some set of standards in the gathering, storing, and using of digital ID elements that can be accepted across organizational and national borders.

The creation of standards, or any form of control over the elements of a complex relationship, should start with the development of a general process model to describe, at a very high level, the process for which standards need to be created. For refugee digital IDs, circumstances may vary greatly on the ground from case to case, but it is possible to envision a general process model with relationships, steps, and requirements that can at least inform the initial work on international standards.



Potential elements that may be added to an Invisible's digital ID include biometric data, original paper or digital data, copies of original data, or miscellaneous data that can be gathered to support the Invisible's claim to identity.

Beginning the process is the Invisible's interaction with an On-Site Actor (e.g., a medical professional in a camp engaged in basic intake and medical examination). At this point, whatever basic information that can be gathered is moved into a database and undergoes a first check of authenticity. A reviewer embedded in the project works with the Invisible to add to the store of data in the first check database, all of which is reviewed and approved by a Verifier, who moves the data into a DID Safe where it is accessible to the Invisible. Once the information is moved into the Safe, it is also entered into the DID Vault, a blockchain ledger that cements the accuracy of the information.

In this relatively straightforward process there are many steps or elements that must be addressed in the development of meaningful standards. The need for standards to guide various aspects of the process, and the development of standards to support the process of DID creation can be approached using five broad functions represented in the simple model: Gathering Information; Authenticating Information; Storing Information; Creating Permanent DIDs; and creation of an Online Dispute Resolution option.

## GATHERING AND AUTHENTICATING INFORMATION

Even before the Invisible shows up at a camp or processing center, there are questions about who will be involved in the gathering and recording of any information related to the refugee. Who will be the Reviewers, On-Site Actors, and Verifiers? From where will they be drawn, how will they be approved, what training will they get, and what are the actions for which they will be responsible?

With the project personnel in place, in many cases the only "data" the Invisible will be able to present to the on-site representative will be herself or himself, in the flesh. First, DID creation must establish what data the Invisible possesses, other than him or herself, and assess

the validity and usefulness of information the Invisible may have in her or his possession. If the only information available is biometric (retinal scans, fingerprints, etc.) there may be standards for the technology that gathers the information and how it is stored. If the information is paper copies of original documents or copies of original documents, some standards may address what is acceptable, the technology to digitally record the information, and how it is stored. If affidavits or letters of support are included, some standards for establishing the identity of the suppliers of the letters must be generated, along with how the material is copied and stored.

### STORING INFORMATION AND CREATING THE VAULT

Standards related to storing information encompass questions of how the data is handled after it is gathered, and who has access before and after storing. Technical questions include the nature of the technology used to gather the information (scans, drives, etc.) and the nature of the technology used to house the interim databases and the final DID blockchain vault. A related issue is how data that is rejected or replaced is to be deleted and destroyed.

The DID blockchain Vault should be considered a final, permanent, safe home for DID information. Standards and process issues include the nature of the blockchain repository, and ongoing access and control of the data. For the Invisible, owning the data is one step in moving out of invisibility, but the ultimate utility of the DID is the ability of the Invisible to access the data and exercise control over the data.

### ONLINE DISPUTE RESOLUTION

In any ongoing human interaction there is the possibility for the creation of disputes and conflict. In order for the DID process to fully serve the Invisibles, the process should include an online dispute resolution (ODR) program that allows for the resolution of issues that arise as a result of the gathering, recording, storing, and controlling of personal data. The ODR program should conform to international ODR standards, and be accessible to the Invisible, the DID project staff, and individuals or groups reviewing DID information at the request of the Invisible.

Potential disputes may arise from a variety of perspectives. The Invisible can contest the inclusion or exclusion of data by the DID staff. For whatever reason, an invisible may want to provide access to data only by sharing a verifiable claim, without revealing the information itself (Zero-Knowledge proof), but the person or organization with whom the verification is shared may want to see the information itself. An Invisible may want to share information with one person or organization, and that person may want to share the information with another person or organization. The Invisible should be able to control the sharing of the information if the information truly belongs to the Invisible, so a grievance to prevent unapproved sharing could be taken through the ODR process.

## CONCLUSION

So how can international standards use consensus solutions to help refugees find their place in the world?

### **Develop a Standardized, Universal ID for Refugees**

No one, officially, expects that refugee encampments will be more than ‘temporary.’ But past experience gives clues about the future: the refugee experience will be with us for some time. Some refugees will be repatriated, some will move on. But others will arrive to spend a long time in the world’s waiting rooms. Refugees are spending more time in refugee camps than in the past, and a large preponderance of refugees are now in semi-permanent exile.<sup>118</sup> The alternative approach for refugees is to avoid camps and to settle in urban areas, depend on their own fortune, and thus “remain invisible to UNHCR and any protection it may offer.”<sup>119</sup>

UNHCR works with host governments to develop a generic refugee ID card for all refugees over 18. However, the information collected can vary from country-to-country depending on the wishes of the host government. And, as UNHCR states, “The issuance of identity documents for refugees is the primary responsibility of the government of the host state.”<sup>120</sup> Among the data points that UNHCR wants to have captured are; type and title of identity document, name and logo of the issuing authorities, a general statement of rights

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<sup>118</sup> James Miller , “Protracted Refugee Situations,” in The Oxford Handbook of Refugee and Forced Migration Studies, Fiddian-Qasmiyeh, Loescher, Long, and Sigona, eds., Oxford, UK, 2014, p.151. Further, UNHCR estimates that a “significant majority .. some 6.4 million refugees are now to be found in protracted refugee situations” Ibid p. 151

<sup>119</sup> Oliver Bakewell, “Encampment and Self-Settlement,” Ibid, p. 133.

<sup>120</sup> UNHCR Guidance on Registration and Identity Management, 5.3 Documentation <https://www.unhcr.org/registration-guidance/chapter5/documentation>

associated with the document, unique document number, given name and family name, sex, date of birth, a photo (if possible), and date of issuance and expiration.<sup>121</sup>

The UNHCR currently works with ISO on ‘quality management’ procedures “to provide essential information about quality processes (i.e., the ISO 9000 series), that support sourcing and procurement of goods to ensure the right quality of the procured goods.”<sup>122</sup> An international consensus process to create a universal refugee ID card that was tied to, but independent from, a UN organization might convince host governments to agree to turn a ‘wicked problem’ over to a consensus solution using ISO standards.

For example, in 2006 it was discovered that numerous products were entering the global toy supply chain that contained toxic levels of lead paint. Most (but not all) of these toys had been sourced from China. For reasons of sovereignty, foreign government inspectors were not allowed access to Chinese factories. An August 15, 2007 recall of almost 20 million toys by Mattel created a critical mass of concern by US and European consumers, retailers and policymakers. The US toy industry agreed to work with the American National Standards Institute (ANSI), retailers and consumer groups to develop a regime of testing, inspection & auditing of factories in China based upon ISO standards.

The Chinese – as committed users of ISO standards – agreed to allow such accredited private-sector, third-party inspections of Chinese factories supplying products into the global toy supply chain, when they would not allow US government officials the same privileges. As in many such cases, an international standard was accepted by the nation-states as the most effective solution.

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<sup>121</sup> Ibid, 5.3.2

<sup>122</sup> UNHCR “Quality Manual” No. DESS/PMCS/2014/002 [cms.emergency.UNHCR.org](http://cms.emergency.UNHCR.org) Further, “PMCS’ QMS is established in compliance with the Rules and Regulations of the United Nations UNHCR and the following international standards; a) UNHCR Supply Management Manual; b) UNICEF Supply Manual, and c) The Vanguard Standards: A systems thinker’s guide to interpretation and use of ISO 9000.”

A single document that captures the *bona fides* of a refugee could act as a ‘passport’ to attest to the rights of refugees under the 1951 UN Refugee Convention, as well as the obligations of refugees to respect the laws of the host country.

### **Enable the Refugees’ Right to Support Themselves**

In many of today’s refugee camps in Italy, Lebanon, on the Greek islands and elsewhere, refugees are given enough to get by. In return, nothing is expected except apathy and acquiescence. After WWII, the DP refugees were allowed to organize themselves to help run their own camps, under rules they helped promulgate. In the anarchical conditions after WWII, both UNRRA and then the UN IRO concluded that refugees should be allowed a certain amount of latitude in the governance of refugee encampments in partnership with the host country.

What is missing today are consensus governance solutions that include refugees themselves in the dialogue. Such governance solutions should include opportunities for educational advancement and small business entrepreneurship. Some small business opportunities do exist, on a de facto basis, in today’s camps. Refugees have discovered that used cargo containers (called ISOboxes after the standard size of the containers set by ISO), serve very effectively as open-air restaurants, barber shops, fix-it shops as well as living spaces.<sup>123</sup>

A consensus process that includes civil society, local business as well as government officials and refugees could be created to develop a standards-based governance process (rules of the road), that can take over much of the responsibility for running the camps. Basic rules of civil behavior, keeping the encampment clean, encouraging small business, could be a first step in lowering current tensions between refugees and the citizens of the host country. In exchange

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<sup>123</sup> “Entrepreneurship Thrives In ISObox Containers.”  
<https://medium.com/athenslivegr/in-a-refugee-camp-entrepreneurship-thrives-in-isobox-containers-115d6e9833e9>

the current *de facto* privileges to run small businesses could be formalized – dependent upon the ability of refugees to successfully govern the encampment.

In the United States, many businesses and farms are dependent upon migrant labor and/or H1B visas for workers. In Europe there is a strong antipathy to having refugees enter into the domestic workforce. And yet, businesses have difficulties in finding the expertise they need for the jobs that need filling. Refugees want to earn money to support their families and find a constructive role in their new environment. Acknowledging the basic human need to be productive and enabling this with trusted digital IDs and credentials would help mitigate a current ‘wicked problem’ of refugee governance.

### **Restore or Replace Lost Credentials and Identification**

Using generic credentialing or certification programs to prove the *bona fides* of refugees could help in discovering job opportunities outside of refugee camps that could help both the local economy and the family of the new worker. The Migration Policy Institute found that, “nearly 2 million US college-educated immigrants were either working in low-skilled jobs or unemployed.” This waste of resources comes at a cost of \$40 billion in unrealized earnings and the loss of \$10 billion in federal, state and local taxes.<sup>124</sup>

The post-WWII refugee communities developed rigorous generic tests that could be used in camp educational academies to teach refugees the skills needed to get on with their lives. The standards world has programs that test the ability of potential workforce applicants as to what skills they hold.

Standards organizations such as ANSI have developed programs on credentialing and certification that will test the skills and *bona fides* of potential employees. Many businesses have worked with ANSI to develop credentialing and certification procedures to screen potential

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<sup>124</sup> The New Brain Gain: Raising Human Capital among Recent Immigrants to the United States  
MPI May 2017 Wash DC

employees. US government agencies also use such standard ANSI tests with military officers and non-commissioned officers to prepare them for jobs in the private sector.

### **Take the Development of Refugee ID From Nation States**

The quality and due diligence efforts by national officials varies widely and what is accepted at one border may be unacceptable at another. A single standardized system accepted by all would remove much uncertainty from the system and allow refugees greater freedom to find opportunities for themselves and their families. Such a digital ID program could be combined with blockchain technologies to create an asset-capturing and skills credentialing program that would allow the refugee and family a path beyond the physical, mental and cultural barriers of the refugee encampment.

The creation of the Nansen Passport was perhaps the League of Nations finest hour. Refugees were given standing by the document and were expected to be so treated by officials from the nation-states. The ability to create a digital identity (that they controlled) would be of tremendous benefit to giving refugees a sense of worth and belonging in a world not of their making.

### **Coordinate Serious Standards-Setting Work**

All of the history and all of the current activity in the creation of IDs, particularly our contemporary experience with digital IDs, argues for serious standards setting work. The work needs to be organized and endorsed by international non-state organizations with the ability to create standards that, like the Nansen Passport, can be voluntarily recognized across the world.